

**Date:** October 21, 2016  
**To:** CUNY Doctoral Students  
**From:** University Benefits Office  
**Subject:** NYSHIP ANNUAL OPEN ENROLLMENT PERIOD

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**Annual Open Enrollment**

The Fall 2016 **Annual Open Enrollment Period** for eligible participants in the New York State Health Insurance Program (NYSHIP) is November 1 through November 30, 2016. **If you are not making changes to your current plan, then no action will be required on your part.**

During the Annual Open Enrollment Period you will be able to:

- Enroll in coverage or add eligible dependents to coverage without the 30-day waiting period usually applied to late enrollments (*Enrollment/addition of dependent(s) will be effective on the date the enrollment form is received by the contact person indicated below*)
- Change from family to individual coverage, without providing proof of other health coverage, even if you are in pre-tax status (*Change to individual coverage will be effective as of the first full pay-period of 2017*)
- Cancel coverage, without providing proof of other health coverage, even if you are in pre-tax status (*Cancellation of coverage will be effective as of the first full pay-period of 2017*)
- Change from pre-tax to post-tax status or from post-tax to pre-tax status (*Change of tax status will be effective as of the first full pay-period of 2017*)

Please note that employees enrolled in pre-tax status have deductions taken prior to their paycheck being taxed but are limited in the changes they can make during the year. Employees enrolled in post-tax status are able to change from family to individual coverage or cancel their coverage at any time.

**Enrollment or Changes during Annual Open Enrollment Period**

If you are participating in the Annual Open Enrollment Period, you must complete and submit the Health Benefits Enrollment Form (PS-404) attached to this letter. If you are adding an eligible dependent, you must provide acceptable documentation\* as proof of eligibility for your dependents.

\*Refer to the dependent eligibility requirements for enrollment located here: [NYSHIP Eligibility Requirements](#) for a listing of acceptable documentation.

*If you are enrolled in a Ph.D. Program at the CUNY Graduate Center, the form must be returned to Scott Voorhees at the Graduate Center, room 7301.05.*

*If you are an Engineering Ph.D. Student at City College, the form must be returned to the Kim Ferguson at City College, Shepard Hall, room 50.*

*If you are enrolled in a Ph.D. Program at the CUNY School of Public Health, the form must be returned to Carmen Vason at the CUNY School of Public Health, room 723.*

If you are enrolling in benefits or adding dependents to coverage, you should expect to receive your benefit cards in the mail (at your home address on file) within 3 to 4 weeks from the date your enrollment is processed.

**If you are not making changes to your current plan, then no action will be required on your part. Your insurance will continue without a lapse in coverage, assuming you continue to meet the eligibility requirements.** The next opportunity you will have to make changes to your coverage as outlined above will be if you experience a Qualifying Event or during the 2017 Annual Open Enrollment.

### **Eligibility**

As a reminder, you are eligible to participate in NYSHIP if you are a matriculated Doctoral Student at the CUNY Graduate Center or in the Engineering Ph.D. Program at City College and simultaneously employed in one of the following Professional Staff Congress (PSC) represented titles: Graduate Assistant A, B, C, D, Adjunct Instructor, Adjunct Lecturer, Adjunct College Laboratory Technician or Non- Teaching Adjunct I, II. Additional eligibility requirements are noted here: [NYSHIP Eligibility Requirements](#)

### **Eligible Dependent**

You may enroll your eligible dependent(s) if their relationship to you is one of the following:

- Spouse
- Domestic Partner
- Dependent Children (under 26 years of age) – The term “children” includes natural children, adopted children, dependent step children.
- Disabled Dependents (26 years of age or older)

For additional information on the New York State Health Insurance Program you may visit our [NYSHIP website](#). Please contact the NYSHIP Coordinator at your college if you have any questions:

*If you are a Ph.D. student at the CUNY Graduate Center, please contact Scott Voorhees at [healthinsuranceinfo@gc.cuny.edu](mailto:healthinsuranceinfo@gc.cuny.edu) or by telephone at 212-817-7406.*

*If you are an Engineering Ph.D. Student at City College, please contact Kim Ferguson at [kferguson@ccny.cuny.edu](mailto:kferguson@ccny.cuny.edu) or by telephone at 212-650-7963.*

*If you are enrolled in a Ph.D. Program at the CUNY School of Public Health, please contact Carmen Vason at [Carmen.Vason@sph.cuny.edu](mailto:Carmen.Vason@sph.cuny.edu) or by telephone at 212-817-7718.*

Enclosure

cc: Esdras Tulier  
Andrea Yenco  
William McGowan  
Human Resources Directors  
Benefit Officers



State of New York  
Department of Civil Service  
Albany, NY 12239

**EMPLOYEE BENEFITS DIVISION  
NYS HEALTH INSURANCE TRANSACTION FORM**

PS-404 (10/06)

**INSTRUCTIONS: READ AND COMPLETE BOTH SIDES/PAGES. PLEASE PRINT AND CHECK THE APPROPRIATE CHOICES.**

**EMPLOYEE INFORMATION**

*(All employees must complete)*

1. Last Name		First Name	MI	2. Social Security Number		3. Sex <input type="checkbox"/> Male <input type="checkbox"/> Female	
4. Street Address				City	State	Zip	
5. Date of Birth		6. Telephone Numbers Home ( ) Work ( )		7. Work location and address			
8. Marital Status <input type="checkbox"/> Married <input type="checkbox"/> Divorced <input type="checkbox"/> Single <input type="checkbox"/> Widowed <input type="checkbox"/> Separated		Marital Status Date					
9. Covered under Medicare? Self <input type="checkbox"/> Yes <input type="checkbox"/> No		Spouse/Domestic Partner <input type="checkbox"/> Yes <input type="checkbox"/> No					

**10. ENTER REQUEST(S) BELOW**

A. <input type="checkbox"/> Request Enrollment- <b>Individual</b>	Medical (10) <i>(Select Empire Plan or HMO)</i> <input type="checkbox"/> Empire Plan <input type="checkbox"/> HMO* Code <input type="text"/> Name <input type="text"/>	<input type="checkbox"/> Dental (11)	<input type="checkbox"/> Vision (14)
B. <input type="checkbox"/> Request Enrollment- <b>Family</b> (Complete G)	Medical (10) <i>(Select Empire Plan or HMO)</i> <input type="checkbox"/> Empire Plan <input type="checkbox"/> HMO* Code <input type="text"/> Name <input type="text"/>	<input type="checkbox"/> Dental (11)	<input type="checkbox"/> Vision (14)
C. <input type="checkbox"/> Elect Pre-Tax Status for Premium deduction?	<input type="checkbox"/> Yes <input type="checkbox"/> No	If yes, initial here to indicate that you have read the Pre-Tax Contribution memorandum. _____	
D. <input type="checkbox"/> Decline Coverage	<input type="checkbox"/> Medical (10) <input type="checkbox"/> Dental (11) <input type="checkbox"/> Vision (14) <i>(Process WAV/BEN transaction)</i>		
E. <input type="checkbox"/> Voluntarily Cancel Coverage	<input type="checkbox"/> Medical (10)	Qualifying Event:	<input type="checkbox"/> Dental (11) <input type="checkbox"/> Vision (14)
F. <input type="checkbox"/> Change Coverage <input type="checkbox"/> Medical (10) <input type="checkbox"/> Dental (11) <input type="checkbox"/> Vision (14) <b>Date of Event:</b> _____			
<input type="checkbox"/> <b>Change to FAMILY</b> (Complete G)		<input type="checkbox"/> <b>Change to INDIVIDUAL</b>	
<input type="checkbox"/> Marriage <input type="checkbox"/> Domestic Partner <input type="checkbox"/> First dependent child acquired <input type="checkbox"/> Dependent returned to full-time student status <input type="checkbox"/> Request coverage for dependents not previously covered <input type="checkbox"/> Newborn <input type="checkbox"/> Previous coverage terminated (Complete Section 11) <input type="checkbox"/> Other _____		<input type="checkbox"/> I voluntarily cancel coverage for my dependents <input type="checkbox"/> I voluntarily cancel coverage for my domestic partner <input type="checkbox"/> Only dependent died <input type="checkbox"/> Only dependent married <input type="checkbox"/> Only dependent graduated <input type="checkbox"/> Divorce <input type="checkbox"/> Only dependent disqualified by age <input type="checkbox"/> Termination of domestic partnership (Attach Completed PS-425.4) <input type="checkbox"/> Other _____	

**G. DEPENDENT INFORMATION** *(use additional sheets if necessary)*

Check One: **A (Add), D (Delete) or C (Change)**      Date of Event \_\_\_\_\_

Check all that apply: **M (Medical), D (Dental), and V (Vision)**

↓	↓	Last Name	First Name	MI	Relationship	Date of Birth	Sex	Address (if different)	Social Security Number
<input type="checkbox"/> A	<input type="checkbox"/> M								
<input type="checkbox"/> D	<input type="checkbox"/> D								
<input type="checkbox"/> C	<input type="checkbox"/> V								
<input type="checkbox"/> A	<input type="checkbox"/> M								
<input type="checkbox"/> D	<input type="checkbox"/> D								
<input type="checkbox"/> C	<input type="checkbox"/> V								
<input type="checkbox"/> A	<input type="checkbox"/> M								
<input type="checkbox"/> D	<input type="checkbox"/> D								
<input type="checkbox"/> C	<input type="checkbox"/> V								
<input type="checkbox"/> A	<input type="checkbox"/> M								
<input type="checkbox"/> D	<input type="checkbox"/> D								
<input type="checkbox"/> C	<input type="checkbox"/> V								

\* A completed HMO form must be attached.

**10. Continued. ENTER REQUEST(S) BELOW**

H.  Change Medical Benefit Plan Change to:  Empire Plan  HMO \* Code  HMO Name \_\_\_\_\_  
\* A completed HMO form must be attached.

I. Change Pre-Tax Status Change to:  Pre-Tax  Post-Tax Processed only by the Employee Benefits Division during the Pre-Tax Contribution Selection Period (November)

**11. PREVIOUS COVERAGE INFORMATION**

If you were previously covered under NYSHIP or another health insurance plan (attach proof, i.e. insurance bill or letter stating former coverage), please complete this section.	Previous ID Number	Date Coverage Terminated _____		
	Enrollee's Name Under Which Previously Covered	Last	First	Middle Initial

**12. LEAVE WITHOUT PAY AND RETIREMENT STATUS**

**LEAVE WITHOUT PAY**

I wish to continue coverage while I am on authorized leave. I understand that I will be billed for this coverage.  Medical  Dental  Vision

I do not wish to continue coverage while I am on authorized leave. I wish to resume my coverage upon return to the payroll.  Medical  Dental  Vision

**RETIREMENT**

I understand the requirements for continuing medical insurance coverage as a retiree and wish to continue my coverage.

I understand the requirements for continuing medical insurance coverage as a retiree and wish to defer my coverage. (A completed PS-406.2 must be attached.)

**13. REQUEST FOR EMPIRE PLAN CARD ONLY**

For Health Maintenance Organization (HMO) cards, contact your HMO.

DUPLICATE CARD (Previously issued card remains valid.)

REPLACEMENT CARD (Previously issued card(s), lost or stolen, become invalid.)

**FOR**

ENROLLEE

ENROLLEE AND ALL DEPENDENTS

INDIVIDUAL DEPENDENT

Name \_\_\_\_\_

**Personal Privacy Protection Law Notification**

This information you provide on this application is requested in accordance with Section 163 of the New York State Civil Service Law for the principal purpose of enabling the Department of Civil Service to process your request concerning health insurance coverage. This information will be used in accordance with Section 96 (1) of the Personal Privacy Protection Law, particularly subdivisions (b), (e) and (f). Failure to provide the information requested may interfere with our ability to comply with your request. This information will be maintained by the Director of the Employee Benefits Division, NYS Department of Civil Service, Albany, NY 12239. For information concerning the Personal Protection Law, call (518) 457-9375. For information related to the Health Insurance Program, **contact your Agency Health Benefits Administrator**. If, after calling your Agency Health Benefits Administrator, you need more information, please call (518) 457-5754 or 1-800-833-4344 between the hours of 9:00 a.m. and 3:00 p.m.

**AUTHORIZATION**

I have read the Pre-Tax Contribution Program memorandum and have made my selection on Page 1 of this document, if applicable. I understand that if I voluntarily decline or cancel my coverage, I may subject myself and/or my dependents to waiting periods if I decide to enroll at a later date, and I may be forfeiting the right to such coverage after leaving State service (vest, retirement, etc.). **I certify that the information I have supplied is true and correct.** I understand that my failure to provide required proof(s) within 28 days (30 days for newborns) may delay the availability of benefits for me or any dependent for whom I fail to provide such proof. Any person who makes a material misstatement of fact or conceals any pertinent information shall be guilty of a crime, conviction of which may lead to substantial monetary penalties and/or imprisonment, as well as an order for reimbursement of claims. I hereby **authorize deduction from my salary or retirement allowance** of the amount required, if any, for insurance indicated above. This authorization shall be in effect until I revoke it in writing.

→ Employee's Signature (Required) \_\_\_\_\_ Signature Date (Required) \_\_\_\_\_

**AGENCY/EBD USE ONLY**

Action/Reason	Date of Event	Hire Date	Date of 1 <sup>st</sup> Eligibility (PE only)	Percentage Working	Agency Code	Neg. Unit	Ret. System

  

Retirement Tier	Registration #	Sick Leave Information		Date Entered on NYBEAS	Effective Date
		# Hours	Hourly Rate of Pay		

**HBA Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_